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U.S. DISTRICT COURT  
CENTRAL DISTRICT OF CALIF.  
LOS ANGELES

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Plaintiff Pro Se

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

CV12-7582 PA (MANX)

Edward D. Fagan, individually, and as a member  
of the "Interest Association of Wertheim Heirs",  
Plaintiff

CASE NO.

Versus

Deutsche Bank AG,  
a/k/a Deutsche Bank Berkshire Mortgage Inc.,  
Deutsche Bank Insurance Agency Incorporated,  
and Deutsche Bank Securities Inc.

COMPLAINT  
(with jury demand)

Deutsche Bank (Suisse) Geneva,  
a/k/a Deutsche Bank AG,  
Deutsche Bank Berkshire Mortgage Inc.,  
Deutsche Bank Insurance Agency Incorporated,  
and Deutsche Bank Securities Inc.

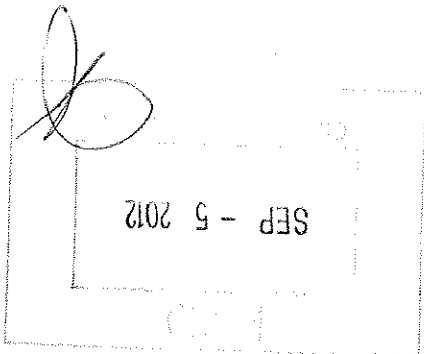
Deutsche Bank (Spain) SA,  
a/k/a Deutsche Bank AG,  
Deutsche Bank Berkshire Mortgage Inc.,  
Deutsche Bank Insurance Agency Incorporated,  
and Deutsche Bank Securities Inc.

Hans Hoffmann, deceased, Consul General of  
Germany to Kingdom of Spain in Malaga  
Defendants

X

Edward D. Fagan, Plaintiff pro se, hereby declares and says, the following upon information  
and belief:

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## INTRODUCTION

1) This action is brought for damages caused by acts starting in the last days of World War II, continuing into the 1970s, 1980s, 1990s and which continue to this day and through which a high ranking Nazi was installed into a position of power and influence in Spain, originally by the Nazi Regime and then confirmed and permitted to continue in his position by the post World War II government of the Federal Republic of Germany. This Nazi agent's cover identity was that of an Honorary "Consul General"; however one of his official duties was to continue the ideological and political goals of The Nazi Party and *Operation Werwolf*<sup>1</sup>, i.e. to operate in post WW II Spain, during the "Franco Regime".

2) The agent selected for this position was Captain Hans Hoffmann - former Gestapo Agent, Liaison Officer with the Blue Division and personal confidant of Adolf Hitler. *See Exhibit 1 (Hitler -- right, Hoffman -- center).*

3) Hoffman was empowered by both the post World War II German Government and the post Franco Regime Spanish Government.

4) Hoffmann's official duties as an Operation Werwolf Agent were included helping to promote and facilitate the goals of and ideologies of the Nazi Party.

5) Other official duties of Hoffmann as an agent of *Operation Werwolf* was to help Nazis flee Germany after World War II to "friendly" countries, mostly in South America, where they could live safely without fear of being discovered.

6) Still other official duties of Hoffmann as an agent of *Operation Werwolf* was to help protect and conceal assets, including cash, bear stocks and artwork, that were looted by the Nazis and/or which were transferred into Spain and/or to help with the transfer of these looted assets from Spain to other countries.

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<sup>1</sup> <http://en.wikipedia.org/wiki/Werwolf> and <http://www.tau.ac.il/Anti-Semitism/asw98-9/spain.htm>

1        7)        Once installed in Spain, with full authority of German & Spanish Governments,  
 2        Hoffmann used his position to “mastermind” systematic transfers, looting and concealment of assets  
 3        that Nazis originally stole from Holocaust victims or Jewish families already in Spain, assets that  
 4        were to be transferred to Spain or that were still in the hands of persons from whom Hoffmann and  
 5        his agents targeted for the thefts.

6        8)        True to his training, Hoffmann proceeded to use a network of (i) agents, (ii) lawyers <sup>2</sup>,  
 7        (iii) art dealers, auction houses and trustees <sup>3</sup>, and (iv) banks and bankers located in Spain,  
 8        Switzerland and Germany <sup>4</sup> to plunder and conceal the assets from one of the greatest Jewish family  
 9        dynasties, The Wertheim Family, the majority of whom were killed in the Holocaust and the sole  
 10        remaining heir who survived the Holocaust, and escaped to Spain, became a victim to this scheme.

11        9)        The looted assets are believed to be in excess of one billion dollars and included (i) bank  
 12        accounts, (ii) safe deposit boxes, (iii) precious gems and metals, (iv) real estate holdings <sup>5</sup>, (v) bearer  
 13        bonds, (vi) corporate assets <sup>6</sup>, (vi) collections of artwork <sup>7</sup>, antique clocks, Aubusson tapestries,

<sup>2</sup>        The lawyers Hoffmann used included Luis Marimón Garnier (“Marimón”), General Counsel of Deutsche Bank Spain and General Secretary in charge of Deutsche Bank Spain legal affairs and his firm Marimón Abogados. He was also the General Secretary of Hispano Olivetti SA and is still General Secretary of Olivetti España SA, the Former Hispano Olivetti Office SA.

<sup>3</sup>        The art dealers included Mr. Leutmeier and Urban & Perigal in Munich, Germany. The auction houses included Sotheby’s and Christie’s. The trustees included Mrs. Muller, Mr. Lang and Mr. Schiavone of Interwiko AG in Switzerland, Mr. Odi Graentz of Intertrade Development and Finance Limited of Knightsbridge, London, Marimón of Defendant Banks in Spain, Germany & Switzerland.

<sup>4</sup>        The banks used by Hoffmann were Defendant Banks and its officers, directors and representatives Marimón as General Counsel of Deutsche Bank Spain and General Secretary in charge of legal affairs at Deutsche Bank Spain and Messrs. Diehr and Espinoza of Deutsche Bank Geneva.

<sup>5</sup>        The real estate holdings were in Spain and The United States.

<sup>6</sup>        The corporate assets were those that The Wertheim Family was able to build in Spain in the early 1900s and other assets The Wertheim Family transferred to Spain to protect them from the Nazis, including Rapida SA, the former La Casa Wertheim and other interests Hispano Olivetti SA and Comercial Mechano Grafico SA.

<sup>7</sup>        Some of the artwork that is subject of this claim are masterpieces, such as Piedra, Tintoretto, Rubens, Goya, Matisse, Tomasso and others, that belonged to The Wertheim Family and which were or are still in the Musco del Prado in Madrid, Museo Nacional d’Art de Catalunya in Barcelona and other museums. These assets may also include a masterpiece from The Wertheim Family collection – “The Triumph of The Eucharist” painting and tapestry by Peter

1 Madonnas, furniture, antique tables and rugs, (vii) Judaica and (viii) other valuable assets.

2 10) What Hitler, Himmler, Göbbels, Göring and Eichmann did not finish in the systematic  
3 looting of the wealth of Europe's great Jewish dynasties, such as the Rothschilds, Warburgs,  
4 Deutschs, Hertzogs and Hatvanys, Former Gestapo Agent / then Consul General Hoffmann with the  
5 aide of willing, reckless or greedy German Banks and others accomplished against The Wertheim  
6 Family and its fortune and holdings in Spain, Switzerland and throughout Europe and The USA.

7 11) The acts were taken not just out of opportunity. They were taken as part of a perverse  
8 and illegal duty to complete the goals of *Operation Werwolf* and were motivated by greed, hatred  
9 and loathing for Jews and the wealth and charity that were personified by The Wertheim Family.<sup>8</sup>

10 12) As a direct and proximate result of this conspiracy and fraud, heirs and successors to the  
11 interests of The Wertheim Family fortune, including Jewish educational, charitable and  
12 philanthropic organizations, have been deprived of support promised to them by the last heir of The  
13 Wertheim Family – Dr. Ambrosius Wolfgang Bäuml.<sup>9</sup>

#### 14 PARTIES

15 13) Edward D. Fagan ("Plaintiff" or "Fagan" or "Plaintiff Fagan") is an individual residing at  
16 5708-01 Arbor Club Way, Boca Raton, FL 33433. Plaintiff Fagan is an owner of certain rights to

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Paul Rubens – which is currently one of the main pieces and cornerstones of The John and Mable Ringling Museum of Art in Sarasota, Florida.

<sup>8</sup> Hoffmann's hatred and contempt for Dr. Bäuml and The Wertheim Family and for the charity they stood for was concealed during Dr. Bäuml's life and was only expressed after Dr. Bäuml was dying and later dead and after the money and assets had been taken.

<sup>9</sup> Plaintiff Fagan is one of several holders of rights to The Wertheim Family assets. Plaintiff Fagan is part of and cooperates with other holders of rights to The Wertheim Family assets, and refer to themselves as the "*Interest Association of Wertheim Heirs*", all of whom acquired their rights from the estate of Dr. Bäuml and all of whom share a common purpose and goal to locate, recover and distribute The Wertheim Family fortune in accordance with the families historical commitments and the wishes of Dr. Bäuml that their money benefit educational, cultural and charitable institutions, particularly Jewish schools and creating a meaningful life and environment for the elderly.

1 the estate of The Wertheim Family. Plaintiff Fagan is also a “member” of the “Interest Association  
2 of Wertheim Heirs”.<sup>10</sup>

3 14) Deutsche Bank AG (“Deutsche Bank”) is a German Bank headquartered at Taunusanlage  
4 12, 60262 Frankfurt am Main, Germany and which maintains offices at 2000 Avenue of the Stars,  
5 Suite 910-N, Los Angeles, CA 90067, 300 South Grand Avenue, 40<sup>th</sup> & 41<sup>st</sup> Floors, Los Angeles,  
6 CA 90071 and offers banking services for private wealth management, private & business clients  
7 and corporate clients and does business under its own name and also as Deutsche Bank Berkshire  
8 Mortgage Inc., Deutsche Bank Insurance Agency Incorporated and Deutsche Bank Securities Inc.,  
9 this judicial district.

10 15) Deutsche Bank (Suisse) SA (“Deutsche Bank Geneva”) is a wholly owned entity of  
11 Deutsche Bank which maintains offices at Place des Bergues 3, Geneva, Switzerland and which  
12 conducts business at 2000 Avenue of the Stars, Suite 910-N, Los Angeles, CA 90067, 300 South  
13 Grand Avenue, 40<sup>th</sup> & 41<sup>st</sup> Floors, Los Angeles, CA 90071 and offers banking services for private  
14 wealth management, private & business clients and corporate clients and under Deutsche Bank’s  
15 name and also in and through Deutsche Bank Berkshire Mortgage Inc., Deutsche Bank Insurance  
16 Agency Incorporated and Deutsche Bank Securities Inc., this judicial district.

17 16) Deutsche Bank Spain SA (“Deutsche Bank Spain”) is a wholly owned entity of  
18 Defendant Deutsche Bank, maintains offices at Avda. De Madrid, 1, 08028 Barcelona, Spain, 7 Av.  
19 De Andalucía, 29002 Malaga, Spain, Avda. Ricardo Soriano, 39, 29600, Marbella, Spain and and  
20 which conducts business at 2000 Avenue of the Stars, Suite 910-N, Los Angeles, CA 90067, 300  
21 South Grand Avenue, 40<sup>th</sup> & 41<sup>st</sup> Floors, Los Angeles, CA 90071 and offers banking services for  
22 private wealth management, private & business clients and corporate clients and under Deutsche

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<sup>10</sup> The “Interest Association of Wertheim Heirs” is a fictitious (or doing business as) name registered in Florida and a trademark application in pending.

1 Bank's name and also in and through Deutsche Bank Berkshire Mortgage Inc., Deutsche Bank  
 2 Insurance Agency Incorporated and Deutsche Bank Securities Inc., this judicial district.

3 17) Defendants Deutsche Bank, Deutsche Bank Geneva and Deutsche Bank Spain are  
 4 collectively referred to through this complaint as "Defendant Banks".

5 18) Defendant Banks had in their employ the following individuals who were the directors,  
 6 officers and representatives of Defendant Banks and for whom Defendant Banks were liable under  
 7 the principles of respondent superior and/or principal/agent, including: (a) Luis Marimón Garnier  
 8 ("Marimón"), General Counsel of Deutsche Bank Spain and General Secretary in charge of legal  
 9 affairs at Deutsche Bank Spain; and (b) Pierre Diehr and Jaime Espinoza of Deutsche Bank Geneva.

10 19) Consul General Hans Hoffmann a/k/a Juan Hoffmann ("Hoffmann") deceased was an  
 11 agent, official and representative of The Federal Republic of Germany<sup>11</sup> and acted with the  
 12 blessings and protection and impunity given to him by The Kingdom of Spain.<sup>12 13</sup>

### 13 JURISDICTION & VENUE

14 20) The Court has jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 (action against  
 15 a foreign nation); and 28 U.S.C. § 1332 (diversity jurisdiction).

16 21) Defendant Estate of Hoffmann does not enjoy sovereign immunity under the principles  
 17 articulated by the Supreme Court in *Samantar v. Yousuf*, 130 S. Ct. 2278 (2010).

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<sup>11</sup> The Federal Republic of Germany is responsible for the acts of Defendant Hoffmann and is not yet named as a defendant in this action.

<sup>12</sup> The Kingdom of Spain is responsible for the acts of Defendant Hoffmann but is also not yet named as a defendant in this action.

<sup>13</sup> The Estate of Hoffmann was implicated in one of greatest frauds in Spanish history -- The Malaya Scandal. The son and one heir to The Estate of Hoffman was convicted for his part in The Malaya Scandal and is currently serving a prison sentence for charges including fraud, embezzlement, and laundering of monies through Swiss and Lichtenstein Banks (perhaps a trick he learned from Hoffmann himself). During the investigation or trial when questioned about the source of his monies, Hoffmann's son is believed to have said that his wealth came as a "gift" from the estate of an "Old Jew" from Germany who was living in on the coast -- meaning Malaga -- and that he inherited a "foundation from the Old Jew" which were unmistakable reference to Dr. Bäuml.



1       22)     Jurisdiction and venue is proper in this judicial district as Defendant Banks conduct  
2 business in this judicial district and Defendant Hoffmann can be sued in any judicial district as a  
3 former official of The Federal Republic of Germany and since one or more of the conspirators can  
4 be found in this judicial district.

5                                   **STATEMENT OF FACTS**

6                   **Installation of Gestapo & Operation Werwolf Agent – Hoffmann in Spain**

7       23)     From 1940 to 1944, Gestapo Agent and personal confidant of Adolf Hitler – Captain  
8 Hans Hoffman served as personal translator for Hitler and other visiting officials in Spain of the  
9 Nazi Regime during revered talks between Hitler, Franco and Franco's Generals. *See Exhibit 1*  
10 *(Hitler, right – Hoffmann, center – and Franco's Aide, left).*

11       24)     As part of Operation Werwolf, in or about March/April 1944 Himmler and Göbbels  
12 dispatched Agent Hoffmann to Spain where he was to assist the Reich and successors to the Third  
13 Reich. *See FN # 1 above.*

14       25)     Hoffman's job was to assist in the relocation, collection, marshaling and concealment of  
15 looted assets so that they could be available to promote the post war individual and collective goals  
16 and ideologies of the Nazi party.

17       26)     After the fall of the Nazi Regime, Hoffmann was formally installed as Honorary Consul  
18 General of The Federal Republic of Germany in Malaga.

19       27)     The Kingdom of Spain officially welcomed Hoffmann and extended to him the courtesies,  
20 power, privileges and status as an official of Defendant Germany and a protected him and his  
21 activities in Spain.

22       28)     With the knowledge of Defendants Germany and Spain, Hoffmann continued to execute  
23 the goals of *Operation Werwolf*.



1       29)     Using his official position, Hoffmann enticed Dr. Bäuml to deposit critical business  
2 documents with him and to give Hoffmann authorizations to conduct certain business affairs for him  
3 by asking Dr. Bäuml for a personal power of attorney for such matters.

4       30)     Although Hoffmann developed acquaintances and “friendships” with certain Jewish  
5 families, such as Wertheim, he was a true Nazi, who used his position to also assist former SS and  
6 Gestapo officers, hide, establish new identities and then re-settle them in various South American  
7 countries, all of which was part of his duties in *Operation Werwolf*. See *Spiegel* Nr. 36 / 1963  
8 “*Gast bei Juanito*” and. *Spiegel* Nr. 9 / 1980 “*Dann Kommt Alles ins Rollen*”.

9       31)     All the while, Hoffmann was not a wealthy man, did not have significant assets and he  
10 lived within "normal" economic conditions.

11       32)     Yet, a short time after the death of Dr. Bäuml on 2 August 1990, Hoffmann suddenly  
12 came to be in possession of enough money to create a foundation in Munich for his family and heirs.

13       33)     By the time Hoffmann died in 1996, within a few years after the death of Dr. Bäuml, his  
14 family had somehow amassed a personal wealth, through which his daughter, son<sup>14</sup> and second  
15 wife were set for life.<sup>15</sup> Hoffman’s son was the owner of a Swiss company, the UFM AG, a  
16 Lichtenstein foundation and other corporations.

17       34)     In 2010 and 2011, Hoffmann’s son told the heirs representatives that his family fortune  
18 came from real estate transactions via Mr. Voegle of Switzerland, who made business in Spain,  
19 especially near Malaga in Marbella.  
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<sup>14</sup> Hoffman’s son, Juan German Hoffmann, was part of the largest real estate scandal in the history of Spain – the case of “Malaya” – and in it Hoffmann’s son was the “launderer” of looted funds. This corruption and money laundering scandal has led to secret accounts in Switzerland and Liechtenstein. In a police interview Hoffmann’s son was asked where he had his large fortune and he is believed to have replied that he got this money from his father, who got it from “German who has lived here on the coast, get transferred to a foundation”. This reference is to Dr. Bäuml.

<sup>15</sup> In Court records from the Malaya case, one accused provided evidence that Hoffmann’s son gave him a three million Euro via Swiss company, UFM Holding AG, and its company Fink 2010 AG.

**The Wertheim Family from Frankfurt am Main – German Jewish Dynasty**

35) The Wertheim Family from Frankfurt am Main (hereafter referred to as “The Wertheim Family”) was one of the greatest Jewish families in Germany’s history and perhaps even in all of Europe and European history. The Family Wertheim had strong connections to Switzerland, Lichtenstein, Australia, Austria, Great Britain and The USA.

36) Dr. Ambrosius Wolfgang Bäuml was born 11<sup>th</sup> July 1921 in Herne Germany and who was the last heir of the Jewish dynasty Wertheim.

37) The Wertheim Family had a long and proud relationship with Germany and conducted their international business from Europe.

38) Dr. Bäuml was the great nephew of Joseph Wertheim, a close friend of Reich Chancellor von Bismarck. Dr. Bäuml was the nephew of Karl Wertheim aka Carlos Vallin Ballin and Maria Wertheim, nee Wischanowska Gawronska, aka Maria Vallin Ballin.

39) The Wertheim Family were to The German Jewish Community and the German Aristocracy, what The Rothschild & Warburg Families was to France and what The Herzog & Hatvany Families were to Hungary.

40) The Wertheim Family was one of the most influential families in German Industrial History and very socially attuned.

41) The Wertheim Family is still today well known throughout Germany and all over Europe and the USA for their social engagements.

42) As was customary amongst the Jewish families in Europe both before and after World War II, The Wertheim Family wealth was divided throughout banks, safe deposit boxes, trustee accounts and foundations including ones in Germany, Spain, Switzerland, Lichtenstein, England and the United States.

1       43)     The Wertheim Family accumulation of wealth began in or around 1873, when patriarch  
2 Josef Wertheim founded a branch in Spain of his Wertheim Sewing Machine Company -  
3 Nähmaschinenmanufaktur - and built up an independent production.

4       44)     The Wertheim Family had ten children. Of the ten children, six were killed or perished  
5 during World War II during the Nazi Regime. Two girls escaped to Australia, one girl to the United  
6 States and one son escaped to Spain.

7       45)     It was the fifth child of The Wertheim Family, Karl (a/k/a Charles or Carlos) Wertheim,  
8 who emigrated to Spain and stayed there during World War II.

9       46)     In Spain, Karl Wertheim took over the Spanish Branch of The Wertheim Family Sewing  
10 Machine Company, which in Spain went by the name "La Casa Wertheim".

11       47)     To obliterate all traces and connections he had to Germany, Karl Wertheim changed his  
12 name to Carlos Vallin Ballin, which incorporated the maiden name of his mother, which was a  
13 respected name and family in Spain and founding family of today's Hapag Lloyd Logistic Group.

14       48)     In 1920, Karl founded the Wertheim Rapida SA (also known as SA Rapida), which  
15 continued the activities of La Casa Wertheim on the sewing machine industry.

16       49)     Gradually, with the establishment of Rapida SA the Frankfurt-machine production was  
17 gradually reduced, and the assets were incorporated in the Rapida SA.

18       50)     Rapida SA became Spain's largest sewing machine manufacturer and one of Catalonia's  
19 most important export companies. Rapida SA continued the business of the Wertheim's German  
20 sewing machine manufacturer but it did so from Spain.

21       51)     When the Nazis seized power in 1933, the remaining and able members of The Wertheim  
22 Family shifted The Wertheim Family assets to Switzerland and Swiss Banks, who promised identity  
23 protection and protection from seizure by the Nazis in the form of numbered accounts, trustee  
24 accounts under different names and safe deposit boxes.

1       52)     The Wertheim Family assets, including real estate holdings, bear bonds, stocks, corporate  
2 assets, collections and all valuables were brought to Switzerland and deposited into various banks.

3       53)     The Wertheim Family assets that were primarily deposited into Schweizerische  
4 Kreditanstalt (predecessor to Credit Suisse), Berner Kantonal Bank and Deutsche Bank.

5       54)     These assets were established in a carefully constructed web of guardianship, corporate,  
6 estate and trustee accounts, real estate holdings, foundations and safe deposit boxes.

7       55)     With the takeover of the Spanish Government by Generalissimo Francisco Franco in 1939,  
8 the connections between Hoffmann, Franco (Spain) and Nazis started to solidify. *See Exhibit 1 –*  
9 *Photograph of Franco's Aide, Hoffmann and Hitler.*<sup>16</sup>

10       56)     Under Franco's Spain, Karl was required to report the Wertheim Family assets to the  
11 Comite de Moneda Extranjera, "*The Committee for Foreign Monies*" and at that time he reported his  
12 foreign assets in Switzerland.

13       57)     Karl Wertheim married Mary Wertheim, born Wischanowska Gawrońska.

14       58)     Due to his personal illness, in 1936 Karl Wertheim transferred greater responsibility for  
15 the management of The Wertheim Family assets to his wife Maria.

16       59)     In 1939, Karl transferred the majority of its bearer shares in Rapida SA to wife Maria.

17       60)     Karl died on 24 August 1945 and Maria then became the sole and rightful heir and  
18 manager of The Wertheim Family fortune.

19       61)     Karl and Maria were unable to have children. However, Maria insisted that The  
20 Wertheim Family name and tradition must continue. So, she urged her husband Karl to take her  
21 sister Victoria who could give the Wertheim Family an heir. The child born of this union was  
22 Ambrosius Wolfgang Bäuml ("Bäuml"), who Karl and Maria decided would be the heir of The  
23 Wertheim Family Legacy and assets.

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<sup>16</sup> Hoffmann's relationship with Hitler and Spain began as official translator for Hitler in negotiations with Franco, continued as other high-ranking Nazis during World War II negotiated various pacts with Franco's Spain and continued after 1945 with the implementation of *Operation Werewolf*.

1       62) Prior to her death, Maria instructed young Bäuml in The Wertheim Family financial  
2 affairs. She took him on countless trips to Switzerland and Liechtenstein and over time Dr. Bäuml  
3 became estute in the management of the property and financial matters of the Wertheim family  
4 which by this time was primarily located, deposited in and entrusted to Credit Suisse AG.

5       63) During his life time, Bäuml was instilled with a strong commitment to his families with  
6 German and Jewish heritage. He studied economics in Berlin and during this time, he developed a  
7 relationship with the former CEO of Deutsche Bank AG, Dr. Hermann Josef Abs, head of Deutsche  
8 Bank before and after WW II and was one of the most powerful financial managers in Germany.

9       64) Throughout his life, The Wertheim Family heir Dr. Bäuml lived a modest life, and he  
10 never disclosed the incredible wealth and assets, including cash in bank accounts, stocks and bearer  
11 bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
12 furniture & Judaica collections and other assets.

13       65) Prior to his death - Dr. Bäuml – continued the commitments of The Wertheim Family,  
14 making generous contributions to a variety of philanthropic, arts, educational and jewish charities  
15 and institutions.

16       66) Perhaps the greatest mistake that Dr. Bäuml made was to allow himself to be drawn in by  
17 Hoffmann and his agents including lawyers Marimón<sup>17</sup> and his firm Marimón Abogados; art dealers  
18 including Mr. Leutmeier and Urban & Perigal; auction houses included Sotheby's and Christie's;  
19 trustees including Muller, Lange and Schiavone; and Defendant Banks in Spain, Germany &  
20 Switzerland; and bank officers Marimón, Diehr and Espinoza, who during the period from the 1980s

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<sup>17</sup> Marimón was, on the one hand an officer / director at Deutsche Bank and on the other hand he served as trustee of certain assets and accounts of Dr. Bäuml at Credit Suisse. As partners and in furtherance of the plan to transfer, conceal and later use assets in trust, Marimón was in a unique position to first transfer the assets from Credit Suisse to one of the Deutsche Bank branches in Switzerland over which he could exercise greater control and authority and from which some of the assets were transferred for the benefit of Hoffman, Marimón and others and other assets still remain unaccounted or disappeared entirely. The failure to recognize a potential or inherent conflict of interest as well as the breach of the trust relationship, when the monies were transferred from Credit Suisse to Deutsche Bank was a wrongful act of Marimón and a failure of Deutsche Bank to properly control its' officer / director or make proper inquiry into the source and beneficial ownership of the transferred funds.

1 to the early 1990s without the knowledge of Dr. Bäuml, transferred, syphoned off, misappropriated,  
2 looted and concealed the majority of the accumulated wealth of The Wertheim Family.

3 67) On his deathbed, Dr. Bäuml still believed The Wertheim Family fortune was safe at  
4 Credit Suisse and had no knowledge that assets had been transferred from Credit Suisse to  
5 Defendant Banks from which they disappeared or was misappropriated by Hoffmann and his co-  
6 conspirators.

7 68) After Dr. Bäuml's died, Rudolf and Giselheide Sutor were about to become appointed  
8 and recognized by the German Courts as the official heir to the estate of Dr. Bäuml. This process  
9 would normally take a matter of a month or so and is routine. Had this happened, Hoffmann and  
10 Defendant Banks past actions might have been discovered in time to take appropriate steps to secure  
11 and recover transferred assets. And, had this happened Hoffmann's plans to further transfer,  
12 liquidate and conceal Dr. Bäuml's assets would have been stopped. So, Hoffmann used his position  
13 as Consul General of Germany to threaten the Sutors and to delay their ability to be expeditiously  
14 appointed and recognized as the heirs of the estate of Dr. Bäuml.

15 69) In this way, after Dr. Bäuml's death, Hoffmann and Defendant Banks' directors and  
16 agents were able to:

- 17 a) Seize control of the 30,000 m<sup>2</sup> farm in which much of the artwork, antiques, tapestries and  
18 other valuables were stored and prevented the lawful heirs from gaining access to secure the  
19 artwork and assets which he sold the farm<sup>18</sup> and shortly thereafter (i) the artwork, antiques,  
20 tapestries and other valuables disappeared;

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<sup>18</sup> For the sale of the farm, Hoffmann used a convicted German criminal from Germany named Peter Schianski.

- b) Transfer to himself nine million Deutsche Marks in cash with which he purchased the buildings of the Hanns Seidel Stiftung and gave them to the Deutsche Schule in Ojen – Marbella, now called German School Juan Hoffman <sup>19</sup>;
- c) Together with the trustees and art dealers, Hoffmann orchestrated the transfers and liquidation of assets from the bank accounts, foundations and safe deposit boxes in Switzerland and Lichtenstein; and
- d) Liquidate the assets of Hispano Olivetti Office SA, which included Hispano SA Olivetti Office and The Wertheim Castle, known as “Teya”, was liquidated and disappeared into the hands of Hoffman and his agents, including Marimón. <sup>20</sup>

70) All of this was done without the knowledge consent or approval of Dr. Bäuml or his true heirs, Mr. Rudolf Sutor and his wife Mrs. Giselheide Eichhammer Sutor.

#### **Search for the Missing Wertheim Family Assets & Equitable Tolling**

71) During the period from 1990s to the present the heirs to the Wertheim Family attempted to locate and trace the assets.

72) In this regard, they approached and demanded information from Credit Suisse, Defendant Banks and their agents.

73) From the 1990s to 2010, Credit Suisse, where The Wertheim Assets were originally located and deposited before Hoffmann and his agents secretly transferred them to Defendant Banks, denied any connection to The Wertheim Family assets, or Dr. Bäuml, or to any company in the name of Dr. Bäuml or to which he was the beneficial owner.

74) From the 1990s to present, Defendant Banks concealed all records of the relationship and trustee accounts they opened pursuant to the plan and scheme orchestrated by Hoffmann.

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<sup>19</sup> The information on the sudden appearance of 9 million Deutsche Marks in the hands of Hoffmann to buy the building for the German School came from Dr. Benkendorf, legal adviser of the German School in Marbella.

<sup>20</sup> Much of the artwork, antiques and other valuables that were collected by The Wertheim Family were housed in Castle Wertheim at Tera.



1       75)     At the end of 2009, again in late spring 2010 and then again in the fall 2010, the prior  
2 heirs to The Wertheim Family assets and estate of Dr. Bäuml met with German business and tax  
3 consultants from whom they sought assistance in how to go about and who could help them gather  
4 documents and information about the disappearance of The Wertheim Family fortune and the  
5 transfers, location and disposition of assets.

6       76)     In early 2011, an investigator and Jewish communal leaders were consulted to see what if  
7 any help they could provide as to how documents and information about the disappearance of The  
8 Wertheim Family fortune and the transfers, location and disposition of assets, could be gathered so  
9 the heirs could try to understand if they had a claim and if so against whom and based upon what  
10 cause of action.

11       77)     In 2011, the heirs wrote to Credit Suisse informing it that they had discovered the  
12 reference to Credit Suisse in one of Dr. Bäuml's diaries and requested Credit Suisse's assistance in  
13 trying to locate documents and information about the disappearance of The Wertheim Family  
14 fortune and the transfers, location and disposition of assets.

15       78)     In October 2011, Credit Suisse agreed to a meeting with the heirs' investigator and  
16 consultant, and at the meeting, Credit Suisse denied having knowledge, documents or information  
17 about the disappearance of The Wertheim Family fortune and transfers, location and disposition of  
18 assets of Dr. Bäuml. Credit Suisse's answer was given the next day and stated in effect:

19               *... We have done intensive research in our headquarters and in our affiliate in*  
20               *Switzerland and can tell you that we have no banking relations including bank*  
21               *accounts with numbered accounts and pseudonyms in the names of Dr. Ambrosius*  
22               *Wolfgang Bäuml, Maria Wischanowska Gawronska, aka Maria Vallin Ballin, and*  
23               *Don Carlos Vallin Ballin, former Karl Wertheim. We had an numbered account*  
24               *with the code word 1250 Montreal – owner Maria de Vallin but it was liquidated*  
25               *years ago and due to Swiss Banking regulations we are sorry that we cannot tell you*  
26               *anything because according to article 962 OR we only have to keep the documents*  
27               *for 10 years. According to the company accounts of Interwiko AG it is not enough to*  
28               *be the heir of the supervisory or managing members, only people who are marked in*  
29               *the commercial register are allowed to get information. For your information, you*  
30               *should consider contacting The Swiss Bank Ombudsman ...*  
31

1       79) At this point, Plaintiff's predecessors had still not discovered evidence of the wrongdoing  
2 of Defendant Banks, its agents or Hoffmann.

3       80) As a result of the mis-information given by Credit Suisse, the investigator and consultant  
4 directed further inquiries to one of the agents for Hoffmann (who by now was deceased) and tried to  
5 arrange a meeting in November 2011 with Marimón. However, Marimón refused the request for a  
6 meeting but his son could not hide and agreed to meet. After the meeting, Marimón's son wrote and  
7 explained that he had consulted with his father and that they did not know of the death of Dr. Bäuml  
8 until years later in 2006 and that his father could not remember how much money there was because  
9 so much time had passed and that he doubted there was much money because if there had been so  
10 much money, it would have been something he might remember and in any event, he had no  
11 knowledge of what if anything happened to any money claimed to belong to Dr. Bäuml. And, he  
12 does not know of his brother (Frederico Marimón Garnier) being involved in any foundation account  
13 in Switzerland. And any documents had long since been destroyed and that there were no  
14 electronically stored documents. These statements were in fact false.

15       81) In January 2012, for the first time, letters and phone calls were directed the Legal &  
16 Compliance Departments of Defendant Banks, by representatives and consultants working with the  
17 heirs, and informed Defendant Banks that there appeared to be evidence connecting Defendant  
18 Banks to the missing Wertheim Family fortune and requesting documents and information about The  
19 Wertheim Family assets, and the transfers from Credit Suisse to Deutsche Bank and the present  
20 status and accounts belonging to the estate of Dr. Bäuml. In response, Defendant Banks provided  
21 no information and directed the heirs to Defendant Deutsche Bank Geneva.

22       82) In January 2012, despite additional inquiries, Defendant Banks continued to refuse to  
23 provide information or documents related to the estate, assets or accounts of Dr. Bäuml.

1 83) From June, July & August 2012, Defendant Banks, their Chief Executive Officers,  
2 Managers, Directors and Supervisory Board were requested to produce all bank records and  
3 documents related to the estate, assets and accounts of Dr. Bäuml and an accounting and restitution  
4 of the monies and assets.

5 84) Defendant Banks continued to refuse to cooperate, refuse to provide the requested  
6 documents and in fact continued to lie about the existence of assets and account that were transferred  
7 to it from Credit Suisse in the name of Dr. Bäuml or as to which Dr. Bäuml or his companies was /  
8 were the beneficial owners.

9 **Defendant Banks' Wrongdoings**

10 85) Defendant Banks were failed to properly supervise their officers, directors and  
11 representatives, specifically its/their General Counsel and General Secretary in charge of legal  
12 affairs at Deutsche Bank Spain, Luis Marimón Garnier and directors/officers Pierre Diehr and Jaime  
13 Espinoza at Deutsche Bank Geneva.

14 86) Defendant Banks failed to comply with their own Rules of Corporate Government,  
15 related to accounting, internal accounting control and auditing matters related to violations by Senior  
16 Deutsche Bank Directors and Officers involved with transfers / taking of assets from accounts and  
17 possibly connected safe deposit boxes at Credit Suisse belonging to Dr. Bäuml, or his companies or  
18 over which he was beneficial owner and which accounts, monies and assets Defendant Banks'  
19 directors and/or officers caused or helped to be transferred / deposited into account number 132012  
20 INT at Deutsche Bank (Suisse) SA Geneva.

21 87) Defendant Banks failed to comply with their own regulations regarding identity  
22 verifications, beneficial owners, foreign currency transfer reporting requirements, estate and tax  
23 compliance and other matters that were involved in opening and transferring into accounts –  
24 including numbered, secret, nominee and/or trustee accounts and safe deposit boxes, at Deutsche

1 Bank with the huge sums of money, assets, bearer bonds, securities, foundation assets and the other  
2 assets that were part of The Wertheim Family Fortune and to which Dr. Bäuml was the sole and  
3 rightful heir and beneficial owner.

4 88) Defendant Banks allowed these acts to be taken without the knowledge or consent of the  
5 lawful heirs of Dr. Bäuml.

6 89) Defendant Banks allowed their directors, officers and senior financial officials – who had  
7 actual or apparent conflicts of interest - to exercise absolute control over the accounts and assets of  
8 Dr. Bäuml or to which they knew or should have known belonged to him and his heirs.

9 90) Defendant Banks allowed its Directors and Officers, to operate without regard to the  
10 apparent conflicts of interest, internal rules and regulations of Deutsche Bank and in what also  
11 appears to have been an effort to not only unlawfully exercise control over “client or fiduciary or  
12 trust funds” but also evade compliance with applicable estate, corporate and persons tax liabilities.

13 91) Defendant Banks allowed its/their Directors and Officers to assist Hoffmann as he  
14 implemented the goals of *Operation Werwolf* to steal the assets of Dr. Bäuml and The Wertheim  
15 Family Fortune.

16 92) Defendant Banks allowed its/their Directors and Officers to take or earn monies for  
17 themselves or otherwise get benefit from their assistance to Hoffmann as he implemented the goals  
18 of *Operation Werwolf* to steal the assets of Dr. Bäuml and The Wertheim Family Fortune.

19 93) From 1990s to the present, Defendant Banks failed to cooperate with the heirs, Plaintiff's  
20 predecessors, or to account for the transferred assets.

21 94) From the 1990s to the present, Defendant Banks concealed their wrongful acts, destroyed  
22 documents and made material misrepresentations in response to inquiries from heirs to the estate and  
23 assets of Dr. Bäuml.

95) From the 1990s to the present, Defendant Banks engaged in systematic and continuous efforts to conceal their wrongful acts so as to frustrate Plaintiff's predecessors' ability to locate and recover the assets of Dr. Bäuml, to get an accounting of or for the assets of Dr. Bäuml, that were transferred to Defendant Banks, and to gain access to bank records / documents with which to demand the return of the assets of Dr. Bäuml and where necessary (such as now) to make claims against those involved in the wrongful taking, misappropriation, conversion and concealment of the assets of Dr. Bäuml and The Wertheim Family Fortune.

### **CAUSES OF ACTION**

#### **First Claim for Relief - Damages Resulting from Destruction of Bank Records**

96) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

97) Defendant Banks had a contractual, legal and fiduciary duty to preserve the documents and records related to The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, as well as cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets.

98) Defendants violated their aforesaid duties.

99) During the period from 1990 to present, Defendant Banks claim (only recently) that they destroyed all records that could have related to The Wertheim Family Assets and accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets.

100) Plaintiff has been damaged by Defendant Banks' destruction of records related to The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds,

1 art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, which damages are  
 2 anticipated to be **in excess of one billion dollars**, subject to proof at trial.

3 **Second Claim for Relief -**  
 4 **Conspiracy to Destroy Bank Records and Interfere with Depositors' & Account Holder Rights**

5  
 6 101) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

7 102) During the period from 1990 to the present, Defendant Banks and Hoffman  
 8 conspired with one another to prevent Plaintiff from gaining access to evidence and  
 9 documents related to The Wertheim Family Assets and the accounts in the name of Dr. Bäuml  
 10 as well as the assets in safe deposit boxes.

11 103) During the period from 1990 to the present, Defendant Banks and Hoffman  
 12 conspired with one another to evade their obligations under banking and governmental rules  
 13 and regulations and agreements made for the benefit of depositors, heirs and successors, that  
 14 required Defendant Banks to comply, cooperate and assist Plaintiff and his co-owners efforts  
 15 to gain access the records, documents and other materials related to The Wertheim Family  
 16 Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and  
 17 bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries,  
 18 clocks, furniture & Judaica collections and other assets.

19 104) During the period from 1990 to present, Defendant Banks, their agents and  
 20 Hoffmann conspired with one another to conceal destruction of records, documents and other  
 21 materials related to The Wertheim Family Assets and accounts in the name of Dr. Bäuml,  
 22 including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold,  
 23 silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, in  
 24 an effort to prevent Plaintiff from discovering the additional causes of action and claims.

25 105) Defendants' acts were unlawful and violated agreements made with and/or for the  
 26 benefit of The Wertheim Family, Dr. Bäuml and their heirs and successors.



1       106) Plaintiff and The Wertheim Family heirs, successors and representatives have been  
2 damaged by Defendant Banks destruction of records related to The Wertheim Family Assets  
3 and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer  
4 bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
5 furniture & Judaica collections and other assets, which damages are anticipated to be **in excess of**  
6 **one billion dollars**, subject to proof at trial.

7                               **Third Claim for Relief - Bailment**

8       107) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

9       108) When Defendant Banks, their agents and Hoffmann took and accepted possession  
10 of the transfers/deposits of The Wertheim Family Assets and the accounts in the name of Dr.  
11 Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate  
12 assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and  
13 other assets, they did so with express knowledge that The Wertheim Family Assets and  
14 accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks, bearer bonds, real  
15 estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture &  
16 Judaica collections and other assets belonged to the Interest Association of Wertheim Heirs.

17       109) Defendant Banks, its agents and Hoffmann at no time had more than a custodial  
18 interest in The Wertheim Family Assets and accounts in the name of Dr. Bäuml, including  
19 cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver,  
20 diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, pursuant  
21 to applicable laws and post-war treaties, which required Defendant Banks to safeguard the  
22 property for benefit of its / their rightful owners.

23       110) Defendant Banks, their agents and Hoffmann's possession of The Wertheim Family  
24 Assets and accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and



1 bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries,  
2 clocks, furniture & Judaica collections and other assets, constituted an express or implied-in-fact  
3 bailment contract for the benefit of members of The Interest Association of Wertheim Heirs.

4 111) Under the bailment and fiduciary contract, Defendant Banks, their agents and  
5 Hoffmann owed The Wertheim Family, Dr. Bäuml and their survivors, heirs, successors and  
6 representatives a duty of care to protect the property and to return it to them. Defendants at  
7 all times understood that The Wertheim Family Assets and the accounts in the name of Dr.  
8 Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate  
9 assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and  
10 other assets, remained the property of Plaintiff and members of the Interest Association of  
11 Wertheim Heirs, who retained the right to demand its return.

12 112) Defendant Banks, their agents and Hoffmann have received substantial financial  
13 benefits from their possession of The Wertheim Family Assets and the accounts in the name  
14 of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings,  
15 corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica  
16 collections and other assets, far exceed any costs they may have expended in storing the assets.

17 113) Plaintiffs and other members of the Interest Association of Wertheim heirs presently  
18 own and have a right to possession of The Wertheim Family Assets and the accounts in the  
19 name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings,  
20 corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica  
21 collections and other assets.

22 114) Plaintiff and/or other members of the Interest Association of Wertheim Family  
23 heirs have demanded the return of The Wertheim Family Assets and the accounts in the name  
24 of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings,

1 corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica  
 2 collections and other assets. Defendants breached their duties by rejecting the demands. Any  
 3 further demand would be futile.

4 115) Plaintiff individually and as a member of the Interest Association of Wertheim  
 5 Heirs has been damaged by Defendants' breach of their bailment obligations and refusal to  
 6 return The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including  
 7 cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver,  
 8 diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, and is  
 9 entitled to restitution, or payment of for his interests which is valued **in excess of one billion**  
 10 **dollars** subject to proof at trial.

#### 11 Fourth Claim for Relief - Conversion

12 116) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

13 117) By refusing to return to return The Wertheim Family Assets and the accounts in the  
 14 name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings,  
 15 corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica  
 16 collections and other assets, pursuant to the bailment relationship among the parties, Defendants  
 17 knowingly converted The Wertheim Family Assets and the accounts in the name of Dr. Bäuml,  
 18 including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold,  
 19 silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets.

20 118) To the extent that Defendant Banks, their agents and Hoffmann purported to  
 21 convert or otherwise knowingly exercised ownership rights over The Wertheim Family  
 22 Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and  
 23 bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries,  
 24 clocks, furniture & Judaica collections and other assets, that were inconsistent with the terms of

1 the bailment relationship, Defendants unlawfully concealed their conversion from Plaintiff  
2 and members of Interest Association of Wertheim Heirs.

3 119) At no point did Plaintiff or other members of the Interest Association of Wertheim  
4 Heirs consent to Defendants' exercise of ownership rights over The Wertheim Family Assets  
5 and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer  
6 bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
7 furniture & Judaica collections and other assets.

8 120) Plaintiff and other members of The Interest Association of Wertheim Heirs have been  
9 damaged by the conversion of their property and are entitled to restitution, or payment of their  
10 interest in The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including  
11 cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver,  
12 diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, which  
13 interest is valued to be **in excess of one billion dollars**, subject to proof at trial.

14 **Fifth Claim for Relief - Constructive Trust**

15 121) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

16 122) Defendants wrongfully obtained The Wertheim Family Assets and the accounts in  
17 the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate  
18 holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture &  
19 Judaica collections and other assets, through violations of international law, duress and deceit.

20 123) Defendants have continued to wrongfully retain The Wertheim Family Assets and  
21 the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds  
22 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
23 furniture & Judaica collections and other assets, despite demand for its return.

1 124) As a result, Plaintiff and members of The Interest Association of Wertheim Heirs are  
2 entitled to the imposition of a constructive trust on The Wertheim Family Assets and the  
3 accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
4 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
5 furniture & Judaica collections and other assets, currently in possession of Defendants,  
6 obligating Defendants to return and/or pay compensation in an amount valued **in excess of**  
7 **one billion dollars** subject to proof at trial.

8 125) In addition, Plaintiff and members of The Interest Association of Wertheim Heirs are  
9 entitled to an accounting of the assets, valuables and works of art subject to constructive trust.

10 **Sixth Claim for Relief - Accounting**

11 126) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

12 127) Defendants never accounted for The Wertheim Family Assets and accounts in the  
13 name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings,  
14 corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica  
15 collections and other assets, which they have had in their possession for since at least 1991.

16 128) As a result of the bailment relationship created among the parties, Defendants had a  
17 fiduciary duty to return The Wertheim Family Assets and the accounts in the name of Dr.  
18 Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate  
19 assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and  
20 other assets, to Plaintiff and members of The Interest Association of Wertheim Heirs upon demand.  
21 Defendants have failed to fulfill that duty.

22 129) Only Defendants know the whereabouts of the property deposited that was and  
23 should still be within their possession, custody or control.

1 130) Plaintiff, his predecessors and other members of The Interest Association of  
2 Wertheim Heirs, have no adequate remedy at law.

3 131) Plaintiff and members of The Interest Association of Wertheim Heirs are entitled to an  
4 accounting of The Wertheim Family Assets and the accounts in the name of Dr. Bäuml,  
5 including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold,  
6 silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets,  
7 that was and should still be within their possession, custody or control, and all monies which  
8 Defendants earned or received there from.

9 **Seventh Claim for Relief - Declaratory Relief**

10 132) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

11 133) An actual case or controversy has arisen between Plaintiff and Defendants  
12 concerning the right to ownership and possession of The Wertheim Family Assets and the  
13 accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
14 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
15 furniture & Judaica collections and other assets.

16 134) Defendants have wrongfully retained The Wertheim Family Assets and the  
17 accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
18 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
19 furniture & Judaica collections and other assets, and have refused to provide restitution to  
20 Plaintiff or members of The Interest Association of Wertheim Heirs.

21 135) Defendants contend that they are not required to restitute The Wertheim Family  
22 Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and  
23 bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries,  
24 clocks, furniture & Judaica collections and other assets, or any portion or interest to Plaintiff or

1 members of Interest Association of Wertheim Heirs or that they have no documents that would show  
2 any entitlement to such assets or the return thereof.

3 136) Plaintiff and members of the Interest Association of Wertheim Heirs contend that  
4 Defendants never have obtained good title to any portion of The Wertheim Family Assets and  
5 the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
6 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
7 furniture & Judaica collections and other assets, because the relationship among Plaintiff and  
8 members of the Interest Association of Wertheim Heirs and Defendants was that of a bailment.

9 137) Plaintiff and other members of the Interest Association of Wertheim Heirs are  
10 entitled to a declaratory judgment declaring him / them to be the true owners of The  
11 Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank  
12 accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art,  
13 antiques, tapestries, clocks, furniture & Judaica collections and other assets, and directing  
14 Defendants to return the assets that are now, or which may later come to be, in their  
15 possession, or to compensate them for their interest in the works, which interest is valued in  
16 **excess of one billion dollars** subject to proof at trial.

17 **Eighth Claim for Relief – Restitution Based on Unjust Enrichment**

18  
19 138) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

20 139) As described above, Defendants have been unjustly and unlawfully enriched at the  
21 expense of Plaintiff and members of the Interest Association of Wertheim Heirs. Defendants  
22 obtained The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including  
23 cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver,  
24 diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, through

1 violations of international law, duress and deceit, and have wrongfully withheld and refused to  
 2 return the assets to Plaintiff or other members of the Interest Association of Wertheim Heirs.

3 140) Plaintiff has no adequate remedy at law.

4 141) As a result of Defendants' unjust enrichment, Plaintiff and other members of the  
 5 Interest Association of Wertheim Heirs is entitled to restitution of The Wertheim Family  
 6 Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and  
 7 bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries,  
 8 clocks, furniture & Judaica collections and other assets, that came into their custody, possession  
 9 or control or compensation for same which is valued to be **in excess of one billion dollars**  
 10 subject to proof at trial.

11 **Ninth Claim for Relief – Restitution Based on Equitable Disgorgement**

12  
 13 142) Plaintiff incorporates paragraphs 1 to 95 of the Complaint as if fully set forth herein.

14 143) As described above, Defendants have been unjustly and unlawfully enriched at the  
 15 expense of Plaintiff and members of The Interest Association of Wertheim Heirs. Defendants  
 16 obtained The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including  
 17 cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver,  
 18 diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, through  
 19 violations of international law, duress and deceit, and have wrongfully withheld from and/or  
 20 refused to return the deposited assets, from Plaintiff and other members of The Interest  
 21 Association of Wertheim Heirs.

22 144) Plaintiff and other members of the Interest Association of Wertheim Heirs have no  
 23 adequate remedy at law.

24 145) As a result of Defendants' unjust enrichment, Plaintiff and other members of the  
 25 Interest Association of Wertheim Heirs are entitled to equitable disgorgement of The Wertheim



1 Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts,  
2 stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques,  
3 tapestries, clocks, furniture & Judaica collections and other assets, or compensation for same in an  
4 amount valued **in excess of one billion dollars**, subject to proof at trial.

5 146) In June, July and August 2012, demand letters were delivered to Defendant Banks  
6 informing them of the claim sounding in destruction of bank records and reminding them of their  
7 contractual and fiduciary obligations to cooperate with regard to The Wertheim Family Assets and  
8 the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
9 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
10 furniture & Judaica collections and other assets, and specifically requested and demanded production  
11 and preservation of records.

12 147) In response to the 2012 Demands, Defendant Banks refused to meet and refused to  
13 cooperate with requests of The Interest Association of Wertheim Heirs, acting on behalf of Plaintiff  
14 and others. Plaintiff and his representatives explicitly sought to deal with these issues so that the  
15 issues could be resolved honorably, expeditiously and without the need to resort to litigation and the  
16 accompanying potential public attention to issues involving Nazis, German and Swiss Banks which  
17 were involved with the transfers, conversion, misappropriation and/or outright theft of Jewish assets  
18 and the attendant concealment and destruction of documents and bank records.

19 148) A formal Demand for the return and restitution of The Wertheim Family Assets and  
20 the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
21 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
22 furniture & Judaica collections and other assets, has been delivered to and refused by Defendants.  
23 No further demand is necessary and any further demand would be futile.  
24

**REQUEST FOR RELIEF**

**WHEREFORE**, Plaintiff demands judgment as follows:

**A. On the First Claim for Relief:** for damages as a result of destruction of records thereby impairing Plaintiff's ability to make civil claims and for compensation **in an amount in excess of one billion dollars** for The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, subject to proof at trial;

**B. On the Second Claim for Relief:** for damages resulting from conspiracy to destroy bank records and interference with depositors' and account holders' rights, related to The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, impairing Plaintiff's ability to make civil claims and for compensation **in an amount in excess of one billion dollars** for The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, subject to proof at trial;

**C. On the Third & Fourth Claim for Relief:** for a bailment order directing Defendants to return to Plaintiff or the Interest Association of Wertheim Heirs, The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, or pay compensation for conversion in an amount **in excess of one billion dollars**, subject to proof at trial;

**D. On the Fifth Claim for Relief:** for an order declaring that Defendants hold as constructive trustees, for and on behalf of Plaintiff and members of The Interest Association of Wertheim Heirs of The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, or pay compensation in an amount **in excess of one billion dollars**, subject to proof at trial;

1           **E.     On the Sixth Claim for Relief:** for an order directing Defendants to account to  
 2 Plaintiff and members of The Interest Association of Wertheim Heirs, for The Wertheim  
 3 Family Assets and the accounts in the name of Dr. Bäuml, including cash in bank accounts,  
 4 stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds, art, antiques,  
 5 tapestries, clocks, furniture & Judaica collections and other assets, or pay compensation in an  
 6 amount **in excess of one billion dollars**, subject to proof at trial;

7           **F.     On the Seventh Claim for Relief:** for an order declaring that Plaintiff and the  
 8 other members of The Interest Association of Wertheim Heirs are the owners of the contents  
 9 of The Wertheim Family Assets and the accounts in the name of Dr. Bäuml, including cash in  
 10 bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold, silver, diamonds,  
 11 art, antiques, tapestries, clocks, furniture & Judaica collections and other assets, and to return same  
 12 or pay compensation in an amount **in excess of one billion dollars**, subject to proof at trial;

13           **G.     On the Eighth Claim for Relief:** for an order unjust enrichment and directing  
 14 Defendants to pay Plaintiff and members of The Interest Association of Wertheim Heirs are  
 15 the owners of The Wertheim Family Assets and the accounts in the name of Dr. Bäuml,  
 16 including cash in bank accounts, stocks and bearer bonds, real estate holdings, corporate assets, gold,  
 17 silver, diamonds, art, antiques, tapestries, clocks, furniture & Judaica collections and other assets,  
 18 and to pay restitution in an amount **in excess of one billion dollars**, subject to proof at trial;

19           **H.     On the Ninth Claim for Relief:** for an order for restitution based on unjust  
 20 enrichment and directing Defendants to pay Plaintiff and members of The Interest  
 21 Association of Wertheim Heirs are the owners of The Wertheim Family Assets and the  
 22 accounts in the name of Dr. Bäuml, including cash in bank accounts, stocks and bearer bonds,  
 23 real estate holdings, corporate assets, gold, silver, diamonds, art, antiques, tapestries, clocks,  
 24 furniture & Judaica collections and other assets, and to pay restitution in an amount **in excess of**  
 25 **one billion dollars**, subject to proof at trial;

26           **I.     For an order awarding exemplary, special and/or punitive damages for**  
 27 Defendants wrongful conduct;

28           **J.     For pre- and post-judgment interest on any award; and**

Edward D. Fagan, individually and as a member of  
Interest Association of Wertheim Heirs  
5708-01 Arbor Club Way, Boca Raton, FL 33433  
Tel/Fax # (561) 372-9296 / (561) 948-2707  
Email: [faganinternational@gmail.com](mailto:faganinternational@gmail.com)  
Plaintiff Pro Se

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**NOTICE OF ASSIGNMENT TO UNITED STATES MAGISTRATE JUDGE FOR DISCOVERY**

This case has been assigned to District Judge Percy Anderson and the assigned discovery Magistrate Judge is Margaret A. Nagle.

The case number on all documents filed with the Court should read as follows:

**CV12- 7582 PA (MANx)**

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge

**NOTICE TO COUNSEL**

*A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).*

Subsequent documents must be filed at the following location:

☐ **Western Division**  
312 N. Spring St., Rm. G-8  
Los Angeles, CA 90012

☐ **Southern Division**  
411 West Fourth St., Rm. 1-053  
Santa Ana, CA 92701-4516

☐ **Eastern Division**  
3470 Twelfth St., Rm. 134  
Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.

**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA**  
**CIVIL COVER SHEET**

<b>I (a) PLAINTIFFS</b> (Check box if you are representing yourself <input checked="" type="checkbox"/> Edward D. Fagan, individually and as a member of the "Interest Association of Wertheim Heirs"		<b>DEFENDANTS</b> Deutsche Bank AG; Deutsche Bank (Suisse) Geneva; Deutsche Bank (Spain) SA and Hans Hoffmann, deceased Consul General of Germany to Kingdom of Spain in Malaga	
<b>(b) Attorneys</b> (Firm Name, Address and Telephone Number. If you are representing yourself, provide same.) Edward D. Fagan, 5708-01 Arbor Club Way, Boca Raton, FL 33433 Tel # 561-372-9296		Attorneys (If Known) Not Yet Known	

<b>II. BASIS OF JURISDICTION</b> (Place an X in one box only.)  <input type="checkbox"/> 1 U.S. Government Plaintiff <input type="checkbox"/> 3 Federal Question (U.S. Government Not a Party)  <input type="checkbox"/> 2 U.S. Government Defendant <input checked="" type="checkbox"/> 4 Diversity (Indicate Citizenship of Parties in Item III)	<b>III. CITIZENSHIP OF PRINCIPAL PARTIES</b> - For Diversity Cases Only (Place an X in one box for plaintiff and one for defendant.)  <table style="width:100%; border: none;"> <tr> <td style="width:40%;">Citizen of This State</td> <td style="width:10%; text-align: center;"><b>PTF</b></td> <td style="width:10%; text-align: center;"><b>DEF</b></td> <td style="width:40%;"></td> <td style="width:10%; text-align: center;"><b>PTF</b></td> <td style="width:10%; text-align: center;"><b>DEF</b></td> </tr> <tr> <td></td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td>Incorporated or Principal Place of Business in this State</td> <td style="text-align: center;"><input type="checkbox"/> 4</td> <td style="text-align: center;"><input type="checkbox"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td style="text-align: center;"><input checked="" type="checkbox"/> 2</td> <td style="text-align: center;"><input type="checkbox"/> 2</td> <td>Incorporated and Principal Place of Business in Another State</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td style="text-align: center;"><input checked="" type="checkbox"/> 3</td> <td>Foreign Nation</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> </tr> </table>	Citizen of This State	<b>PTF</b>	<b>DEF</b>		<b>PTF</b>	<b>DEF</b>		<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business in this State	<input type="checkbox"/> 4	<input type="checkbox"/> 4	Citizen of Another State	<input checked="" type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5	Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input checked="" type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6
Citizen of This State	<b>PTF</b>	<b>DEF</b>		<b>PTF</b>	<b>DEF</b>																				
	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business in this State	<input type="checkbox"/> 4	<input type="checkbox"/> 4																				
Citizen of Another State	<input checked="" type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5																				
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input checked="" type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6																				

**IV. ORIGIN** (Place an X in one box only.)

<input checked="" type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from another district (specify):	<input type="checkbox"/> 6 Multi-District Litigation	<input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge
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**V. REQUESTED IN COMPLAINT:** JURY DEMAND: ☐ Yes ☐ No (Check 'Yes' only if demanded in complaint.)

CLASS ACTION under F.R.C.P. 23: ☐ Yes ☒ No      **MONEY DEMANDED IN COMPLAINT:** \$ \_\_\_\_\_

**VI. CAUSE OF ACTION** (Cite the U.S. Civil Statute under which you are filing and write a brief statement of cause. Do not cite jurisdictional statutes unless diversity.)

**VII. NATURE OF SUIT** (Place an X in one box only.)

<b>OTHER STATUTES</b> <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce/ICC Rates/etc. <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 810 Selective Service <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 875 Customer Challenge 12 USC 3410 <input checked="" type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Act <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Info. Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes	<b>CONTRACT</b> <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loan (Excl. Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise <b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<b>TORTS</b> <b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Fed. Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury-Med Malpractice <input type="checkbox"/> 365 Personal Injury-Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 463 Habeas Corpus-Alien Detainee <input type="checkbox"/> 465 Other Immigration Actions	<b>TORTS</b> <b>PERSONAL PROPERTY</b> <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability <b>BANKRUPTCY</b> <input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 <b>CIVIL RIGHTS</b> <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 444 Welfare <input type="checkbox"/> 445 American with Disabilities - Employment <input type="checkbox"/> 446 American with Disabilities - Other <input type="checkbox"/> 440 Other Civil Rights	<b>PRISONER PETITIONS</b> <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General Habeas Corpus <input type="checkbox"/> 535 Death Penalty <input type="checkbox"/> 540 Mandamus/Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <b>FORFEITURE / PENALTY</b> <input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Other Food & Drug <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 R.R. & Truck <input type="checkbox"/> 650 Airline Regs <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other	<b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS-Third Party 26 USC 7609
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CV12-7582

FOR OFFICE USE ONLY: Case Number: \_\_\_\_\_

AFTER COMPLETING THE FRONT SIDE OF FORM CV-71, COMPLETE THE INFORMATION REQUESTED BELOW.



**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA**  
**CIVIL COVER SHEET**

VIII(a). **IDENTICAL CASES:** Has this action been previously filed in this court and dismissed, remanded or closed? ☒ No ☐ Yes

If yes, list case number(s): \_\_\_\_\_

VIII(b). **RELATED CASES:** Have any cases been previously filed in this court that are related to the present case? ☒ No ☐ Yes

If yes, list case number(s): \_\_\_\_\_

Civil cases are deemed related if a previously filed case and the present case:

- (Check all boxes that apply) ☐ A. Arise from the same or closely related transactions, happenings, or events; or  
☐ B. Call for determination of the same or substantially related or similar questions of law and fact; or  
☐ C. For other reasons would entail substantial duplication of labor if heard by different judges; or  
☐ D. Involve the same patent, trademark or copyright, and one of the factors identified above in a, b or c also is present.

IX. **VENUE:** (When completing the following information, use an additional sheet if necessary.)

- (a) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** named plaintiff resides.  
☐ Check here if the government, its agencies or employees is a named plaintiff. If this box is checked, go to item (b).

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
	Plaintiff Fagan resides in Palm Beach County, FL

- (b) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** named defendant resides.  
☐ Check here if the government, its agencies or employees is a named defendant. If this box is checked, go to item (c).

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
	Deutsche Bank AG principal residence is in Germany; Deutsche Bank (Suisse) Geneva principal residence is in Switzerland; Deutsche Bank (Spain) SA principal residence is in Spain; and Hans Hoffmann was Deceased in Spain

- (c) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** claim arose.  
**Note: In land condemnation cases, use the location of the tract of land involved.**

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
	The claims arose in Germany, Spain and Switzerland and some property that was the subject of the actions is believed to have been in California, in San Benito County and in New York County, NY

\* Los Angeles, Orange, San Bernardino, Riverside, Ventura, Santa Barbara, or San Luis Obispo Counties

**Note: In land condemnation cases, use the location of the tract of land involved**

X. **SIGNATURE OF ATTORNEY (OR PRO PER):** Edmund Tang PC Date 5 September 2012

**Notice to Counsel/Parties:** The CV-71 (JS-44) Civil Cover Sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3-1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet.)

Key to Statistical codes relating to Social Security Cases:

Nature of Suit Code	Abbreviation	Substantive Statement of Cause of Action
861	HIA	All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935f(b))
862	BL	All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)
863	DIWC	All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405(g))
863	DIWW	All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405(g))
864	SSID	All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.
865	RSI	All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. (g))